DT07 Rec'd PCT/PTO PTD-73-9 Dec

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER 30391-18

U.S. APPLICATION NO THE KNOW

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED 19 June 2002 PCT/JP03/07672 17 June 2003 TITLE OF INVENTION FLASH MEMORY APPLICANT(S) FOR DO/EO/US Tokyo Electron Device Limited Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)): is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13 A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Published PCT Application No. WO 2004/001605 A1; PCT Demand and Amendment Under Article 34; 20. [X] Other items or information: International Search Report; Form PTO/SB/08 for Information Disclosure Statement.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

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U.S. APPLICATION NO. (if known, see 37 CFR) 1.5) INTERNATIONAL APPLICATION NO.  No. Application No. (if known, see 37 CFR) 1.5) PCT/JP03/07672					ATTORNEY'S DOCKET NUMBER			
, n	lo Assigned 104	30391-18						
	ng fees are submitted:	Applicant use	Office use only					
X a) Basic na	ational fee	\$ \$300.00						
X b) Examin	ation fee	\$ \$200.00						
X c) Search f	ee	\$ \$500.00						
	TOTAL OF ABOVE CA	\$ \$1,000.00						
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CLAIMS	NUMBER FII	LED	NUMBER EXTRA	RATE	\$			
Total claims	31	- 20 =	11	× \$50.00	\$ 550.00			
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			TOTAL OF ABOVE CAL	CULATIONS =	\$ \$1,550.00			
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claimed priority of	date (37 CFR 1.492(f)).		 					
		\$ \$1,550.00						
	g the enclosed assignment e cover sheet (37 CFR 3.	\$ \$40.00						
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a. X A check in the amount of \$ \$1,590.00 to cover the above fees is enclosed.								
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NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 7.137(a) or (b)) must be filed								
and granted to restore the International Application to pending status.								
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re applicati		)		
	Syuichi KIKUCHI	)	Group Art Unit:	Not Assigned
Serial No.:	Not Assigned	) )	Examiner:	
Filed:	December 17, 2004	)		<b>. .</b>
For:	FLASH MEMORY	)		

San Diego, California December 17, 2004

Mail Stop: PCT

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

## **CERTIFICATE OF EXPRESS MAILING**

Dear Sir or Madam:

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I hereby certify that a Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371, including Form PTO-1390; Check No. 160695 in the amount of \$1,590.00; English translation of international application, including specification, claims, abstract (52 pages) and formal drawings (Figures 1-16 on 16 pages); executed Declaration and Power of Attorney for Patent Application; Executed Assignment with Form PTO 1595; copy of International Publication No. WO 2004/001605 A1; copy of PCT Demand, Copy of Amendment Under Article 34; copy of International Search Report; Information Disclosure Statement with Form PTO/SB/08 listing six (6) cited documents (in duplicate); copies of six (6) cited documents; and return-receipt postcard are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.